



In re application of: Alvin P. Short  
Serial No.: 09/852,899 Group: Art Unit 2814  
Filed: May 10, 2001 Examiner: Mai, Anh D.  
For: **INCREASE IN DEEP TRENCH CAPACITANCE BY A CENTRAL GROUND ELECTRODE**

Assistant Commissioner for Patents  
Washington, D.C. 20231

AMENDMENT TRANSMITTAL FORM

Sir:

Transmitted herewith is an amendment in the above-identified application.

- ☐ Small entity status of this application under 37 C.F.R. § 1.9 and 1.27 has been established by a verified statement previously submitted.  
☐ A verified statement to establish small entity under 37 C.F.R. § 1.9 and 1.27 is enclosed.  
☒ No additional fee is required.

The fee has been calculated as shown below:

	(Col. 1)	(Col. 2)	(Col. 3)	SMALL ENTITY	OTHER THAN SMALL ENTITY
	CLAIMS REMAINING AFTER AMENDMENT	HIGHEST NO. PREVIOUSLY PAID FOR	PRESENT EXTRA	RATE	ADDIT. FEE
TOTAL	6* MINUS	20**	= 0 X	9	\$ 0
INDEP.	1* MINUS	3***	= 0 X	42	\$ 0
[ ] FIRST PRESENTATION OF MULTIPLE DEP. CLAIM				X 140	\$ 0
TOTAL					OR TOTAL \$ 0
ADDIT. FEE					\$ 0

\*If the entry in Co. 1 is less than entry in Col. 2, write "0" in Col. 3.

\*\*If the "Highest No. Previously Paid for" IN THIS SPACE is less than 20, enter "20".

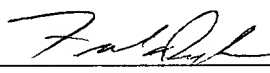
\*\*\*If the "Highest No. Previously Paid For" IN THIS SPACE is less than 3, enter "3".

The Highest No. Previously Paid For" (Total or indep.) is the highest number found in the appropriate box in Col. 1 of a prior amendment or the number of claims originally filed.

- [ ] Please charge Deposit Account No. \_\_\_\_\_ in the amount of \$\_\_\_\_. Two (2) copies of this sheet are enclosed.  
[X] Check in the sum of \$750.00 is enclosed herewith  
[X] Please charge any deficiency as well as any other fee(s) which may become due under 37 C.F.R. § 1.16 and/or 1.17 at any time during the pendency of this application, or credit any overpayment of such fee(s) to Deposit Account No. 50-0679. Also, in the event any extensions of time for responding are required for the pending application(s), please treat this paper as a petition to extend the time as required and charge Deposit Account No. 50-0679 therefor. **TWO (2) COPIES OF THIS SHEET ARE ENCLOSED.**

Respectfully submitted,

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CERTIFICATE OF MAILING UNDER 37 C.F.R. § 1.8(a)

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail, postpaid in an envelope, addressed to the: Assistant Commissioner for Patents, Box RCE, Washington, D.C. 20231 on **February 10, 2003**.

Dated: 2/10/03

  
Frank V. DeRosa



PATENT

Handwritten notes: #1013, OK, 2/22/03

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant: Alvin P. Short

Examiner: Mai, Anh D.

Serial No: 09/852,899

Group Art Unit: 2814

Filed: May 10, 2001

Docket: 8055-25 (01 P 08366 US 01)

For: **INCREASE IN DEEP TRENCH CAPACITANCE BY A CENTRAL GROUND ELECTRODE**

**BOX RCE**

Commissioner of Patents  
Washington, D.C. 20231

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**AMENDMENT**

This is a response to the Advisory Action mailed on January 28, 2003 and the Final Office Action mailed on November 8, 2002. A Request for Continued Examination (RCE) has been filed herewith. Please amend the application as follows:

**CERTIFICATE OF MAILING 37 C.F.R. § 1.8(a)**

I hereby certify that this correspondence (and any document referred to as being attached or enclosed) is being deposited with the United States Postal Service as first class mail, postage paid in an envelope addressed to: Commissioner of Patents, Washington, D.C. 20231 on February 10, 2003.

Dated: 2/10/03

  
Frank V. DeRosa